

Receipt date: 06/26/2007

10597842 - GAU: 3775

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10597842
	Filing Date		2006-08-09
	First Named Inventor	Georg Duda	
	Art Unit	1724	
	Examiner Name	Unavailable	
	Attorney Docket Number	17346-0038	

U.S.PATENTS							Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	
	1	6034296		2000-03-07	Elvin; Niell		
	2	4186749		1980-02-05	Fryer; Thomas B		
	3	6464687		2002-10-15	Ishikawa; Akira et al		
	4	5769893		1998-06-23	Shah, Mrugesh K		
	5	6610096		2003-08-26	MacDonald; Stuart G		
	6	6447448		2002-09-10	Ishikawa; Akira et al		
	7	5964993		1999-10-12	Blubaugh, Jr.; Elmo A et al		
	8	5725578		1998-03-10	Knapp; Terry R et al		

Receipt date: 06/26/2007

Application Number	10597842	10597842 - GAU: 3775
Filing Date	2006-08-09	
First Named Inventor	Georg Duda	
Art Unit	1724	
Examiner Name	Unavailabl'e	
Attorney Docket Number	17346-0038	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

9	6322562		2001-11-27	Dietmar Wolter	
10	6793659		2004-09-21	Putnam, Matthew D	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20020151770		2002-10-17	Noll, Austin F. III et al	
	2	20030073999		2003-04-17	Putnam, Matthew D.	
	3	20040204647		2004-10-14	Grupp, Thomas et al	
	4	20040023623		2004-02-05	Stauch, Roman et al	

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

FOREIGN PATENT DOCUMENTS

Remove

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² ;	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	0149173	WO	A1	2001-07-12	Imatec AG		<input type="checkbox"/>

Receipt date: 06/26/2007

Application Number

10597842

10597842 - GAU: 3775

Filing Date

2006-08-09

First Named Inventor

Georg Duda

Art Unit

1724

Examiner Name

Unavailable

Attorney Docket Number

17346-0038

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

2	0119239	WO	A1	2001-03-22	Endoluminal Therapeutics, Inc.	<input type="checkbox"/>
3	10048375	DE	A1	2002-05-08	Delsanter, Michele et al	<input type="checkbox"/>
4	03092514	WO	A1	2003-11-13	Control Delivery Systems, Inc.	<input type="checkbox"/>
5	4132021	DE	A1	1993-04-01	Clasbrummel, Bernhard	<input type="checkbox"/>
6	0559870	EP	B1	1996-08-28	Clasbrummel, Bernhard	<input type="checkbox"/>
7	10137011	DE	A1	2003-02-20	Aesculap AG & Co. KG	<input type="checkbox"/>
8	10055519	DE	A1	2002-06-06	Wittenstein GmbH & Co. KG	<input type="checkbox"/>
9	19858889	DE	A1	2000-06-21	Wolter, Dietmar	<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

[Add](#)

NON-PATENT LITERATURE DOCUMENTS

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	G.N. Dudas, et al, "Does Partial Weight Bearing Unload a Healing Bone in External Ring Fixation", Langbecks Arch Surg, October 2003, pp 298-304	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

[Add](#)

Receipt date: 06/26/2007

Application Number	10597842	10597842 - GAU: 3775
Filing Date	2006-08-09	
First Named Inventor	Georg Duda	
Art Unit	1724	
Examiner Name	Unavailable	
Attorney Docket Number	17346-0038	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

EXAMINER SIGNATURE

Examiner Signature	/Christopher Beccia/	Date Considered	/Christopher Beccia/
--------------------	----------------------	-----------------	----------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Receipt date: 06/26/2007

Application Number	10597842	10597842 - GAU: 3775
Filing Date	2006-08-09	
First Named Inventor	Georg Duda	
Art Unit	1724	
Examiner Name	Unavailable	
Attorney Docket Number	17346-0038	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Peter G. Pappas/	Date (YYYY-MM-DD)	2007-06-26
Name/Print	Peter G Pappas	Registration Number	33,205

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Georg Duda, et al)
)
Serial No.: **10/597,842**)
)
Filed: **August 09, 2006**)
)
For: **Component and Method for**)
Assembling an Implant Arrangement)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Sir:

The citation of information "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98.

With regards to the references cited in the Foreign Patent Documents: US 6,322,562 corresponds to DE 19858889. US publication 20040204647 corresponds to DE 10137011. US publication 20040023623 corresponds to DE 10055519. EP 0559870, including relevant English claims on page 6-7, corresponds to DE 4132021. US publication 20030073999 corresponds to US 6,793,659. The foregoing US Patents and publications express, in English, the relevance of the cited foreign language references. English translations, by the inventors, of cited paragraphs to foreign language applications DE 4132021 and DE 10048375 are submitted herewith.

Copies of the International Search Report and Written Opinion for the International Searching Authority for the priority PCT application PCT/DE2005/000233 are submitted herewith.

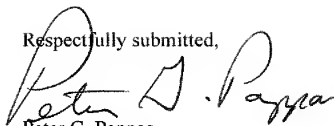
The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

AO 1696875.1

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.B./

Information Disclosure Statement
10/597,842
Page 2

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Peter G. Pappas". The signature is fluid and cursive, with a large initial "P" and a distinct "G" and "P" at the end.

Peter G. Pappas,
Reg. No. 33,205

SUTHERLAND ASBILL & BRENNAN LLP
999 Peachtree Street, NE
Atlanta, Georgia 30309-3996
(404) 853-8000
Our Docket: 17346-0038